



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas Hayward, et al.

Examiner: Gerald G. Leffers, Jr.

Serial No.: 09/424,458

Art Unit: 1636

Filed: March 16, 2000

Docket: 13198

For: THREE NOVEL GENES ENCODING A ZINC
FINGER PROTEIN, A GUANINE, NUCLEOTIDE
EXCHANGE FACTOR AND A HEAT SHOCK
PROTEIN OR HEAT SHOCK BINDING PROTEIN

Dated: July 18, 2002

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JUL 29 2002

Assistant Commissioner for Patents
Washington, DC 20231

TECH CENTER 1600/2900

STATEMENT UNDER 37 C.F.R. §1.821(f)

Sir:

I hereby state that the content of the substitute paper and substitute computer
readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. §1.821(c) and
(e), respectively, are the same.

Respectfully submitted,

Peter I. Bernstein
Registration No. 43,497

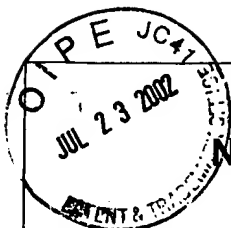
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Notice to Comply

Application No.	Applicant(s)
09/424,458	HAYWARD, JET AL.
Examiner	Art Unit
Gerald G. Leffers Jr.	1636

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: There remain sequences in the Figures that lack sequence identifiers, a copy of the CRF, paper sequence listing and attorney's statement (e.g. Figure 4, Figure 10). If these sequences are in the listings filed 12/18/01, then amend the Brief Description of the Drawings to include the appropriate SEQ ID NO.

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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